

FORM PTO 1390 (REV 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 02755/100H338-US1	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/509930</b>	
INTERNATIONAL APPLICATION NO. PCT/US2003/010033		INTERNATIONAL FILING DATE 1 April 2003		PRIORITY DATE CLAIMED 1 April 2002	
TITLE OF INVENTION EPITOPE CONSTRUCTS COMPRISING ANTIGEN PRESENTING CELL TARGETING MECHANISMS					
APPLICANT(S) FOR DO/EO/US Ronald M. Burch et al.					

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return Receipt Postcard; Certificate of Express Mail

<div style="display: flex; justify-content: space-between;"><div>U.S. APPLICATION NO. (if known) <b>10/509930</b></div><div>INTERNATIONAL APPLICATION NO. <b>PCT/US2003/010033</b></div><div>ATTORNEY'S DOCKET NUMBER <b>02755/100H338-US1</b></div></div>																																																																											
<div>21. <input checked="" type="checkbox"/> The following fees are submitted:</div> <div><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):</b><div><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1080.00</b></div><div><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b></div><div><input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$770.00</b></div><div><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b></div><div><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b></div></div> <div style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div> <div>Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).</div>	<div><b>CALCULATIONS PTO USE ONLY</b></div> <div style="border: 1px solid black; height: 150px; margin-top: 10px;"></div> <div style="border-top: 1px solid black; border-right: 1px solid black; border-left: 1px solid black; padding: 5px; margin-top: 5px;"><div style="display: flex; justify-content: space-between;"><span>\$</span><span>790.00</span></div><div style="border-top: 1px solid black; border-right: 1px solid black; border-left: 1px solid black; padding: 5px; margin-top: 5px;"><div style="display: flex; justify-content: space-between;"><span>\$</span><span></span></div></div></div>																																																																										
<table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th style="width:20%;">CLAIMS</th><th style="width:20%;">NUMBER FILED</th><th style="width:20%;">NUMBER EXTRA</th><th style="width:10%;">RATE</th><th style="width:10%;"></th><th style="width:10%;"></th></tr></thead><tbody><tr><td>Total claims</td><td style="text-align: center;">54-20 =</td><td style="text-align: center;">34</td><td style="text-align: center;">x 18.00</td><td>\$</td><td style="text-align: right;">612.00</td></tr><tr><td>Independent claims</td><td style="text-align: center;">2-3 =</td><td></td><td style="text-align: center;">x</td><td>\$</td><td style="text-align: right;">0.00</td></tr><tr><td colspan="4">MULTIPLE DEPENDENT CLAIM(s) (if applicable)</td><td style="text-align: center;">+</td><td>\$</td></tr><tr><td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td><td>\$</td><td style="text-align: right;">1,402.00</td></tr><tr><td colspan="4"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td><td>\$</td><td></td></tr><tr><td colspan="4" style="text-align: right;"><b>SUBTOTAL =</b></td><td>\$</td><td style="text-align: right;">1,402.00</td></tr><tr><td colspan="4">Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</td><td>\$</td><td></td></tr><tr><td colspan="4" style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></td><td>\$</td><td style="text-align: right;">1,402.00</td></tr><tr><td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property</td><td style="text-align: center;">+</td><td>\$</td></tr><tr><td colspan="4" style="text-align: right;"><b>TOTAL FEES ENCLOSED =</b></td><td>\$</td><td style="text-align: right;">1,402.00</td></tr><tr><td colspan="4" rowspan="2"></td><td style="text-align: right;"><b>Amount to be refunded:</b></td><td style="text-align: center;">\$</td></tr><tr><td style="text-align: right;"><b>charged:</b></td><td style="text-align: center;">\$</td></tr></tbody></table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	54-20 =	34	x 18.00	\$	612.00	Independent claims	2-3 =		x	\$	0.00	MULTIPLE DEPENDENT CLAIM(s) (if applicable)				+	\$	<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	1,402.00	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$		<b>SUBTOTAL =</b>				\$	1,402.00	Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$		<b>TOTAL NATIONAL FEE =</b>				\$	1,402.00	Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				+	\$	<b>TOTAL FEES ENCLOSED =</b>				\$	1,402.00					<b>Amount to be refunded:</b>	\$	<b>charged:</b>	\$	
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<div>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,402.00</u> to cover the above fees is enclosed.</div> <div>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</div> <div>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-0100</u>. A duplicate copy of this sheet is enclosed.</div> <div>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</div>																																																																											
<div><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</div> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"><div>SEND ALL CORRESPONDENCE TO: Joseph R. Robinson DARBY &amp; DARBY P.C. P.O. Box 5257 New York, New York 10150-5257 (212) 527-7783 CUSTOMER NUMBER: 07278</div><div style="text-align: center;"><div style="margin-bottom: 10px;"></div><div style="display: flex; justify-content: space-between;"><div>SIGNATURE: <b>MARIE COLLOZZO</b> Joseph R. Robinson</div><div>NAME: _____</div></div><div style="text-align: right; margin-top: 10px;"><b>33,448</b> REGISTRATION NUMBER</div></div></div>																																																																											

10/509930

DT03 Rec'd PCT/PTO 01 OCT 2004

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 02755/100H338-US1

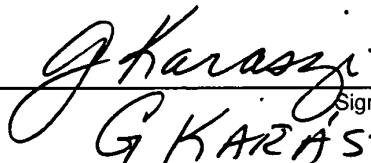
## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. \_\_\_\_\_ in an envelope addressed to:

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MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on October 1, 2004  
Date



Signature

G. KARASZ

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- Transmittal Letter to the US Designated-Elected Office (2 pages)
- Application Data Sheet (3 pages)
- Check in the amount of \$1,402.00
- Return receipt postcard (1)